

Format for the notification of a selected major project

**NOTIFICATION TO THE COMMISSION OF THE SELECTED MAJOR PROJECT IN ACCORDANCE WITH THE FIRST SUBPARAGRAPH OF
ARTICLE 102(1) OF REGULATION (EU) No 1303/2013**

EUROPEAN REGIONAL DEVELOPMENT FUND/COHESION FUND

INFRASTRUCTURE/PRODUCTIVE INVESTMENT

Project title ['.....']

CCI [.....]

PART A

Information required by Article 102(1)(a) of Regulation (EU) No 1303/2013

A. BODY RESPONSIBLE FOR IMPLEMENTATION OF THE MAJOR PROJECT

A.1. Authority responsible for project notification (managing authority or intermediate body)

A.1.1	Name:	<type='S' maxlength='200' input='M'> (1)
A.1.2	Address:	<type='S' maxlength='400' input='M'>
A.1.3	Name of contact person	<type='S' maxlength='200' input='M'>
A.1.4	Position of contact person	<type='S' maxlength='200' input='M'>
A.1.5	Telephone:	<type='N' input='M'>
A.1.6	E-mail:	<type='S' maxlength='100' input='M'>

A.2. Body responsible for project implementation (beneficiary (2))

A.2.1	Name:	<type='S' maxlength='200' input='M'>
A.2.2	Address:	<type='S' maxlength='400' input='M'>
A.2.3	Name of contact person	<type='S' maxlength='200' input='M'>
A.2.4	Position of contact person	<type='S' maxlength='200' input='M'>
A.2.5	Telephone:	<type='N' input='M'>
A.2.6	E-mail:	<type='S' maxlength='100' input='M'>

A.3. Is this project a phase of a major project (3) ? <type='C' input='M'>

Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
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If yes, please provide a description of the physical and financial objectives of the overall project.

<type='S' maxlength='3500' input='M'>

A.4. Has the Commission previously approved any part of this major project? <type='C' input='M'>

Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
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If yes, please provide the CCI of the major project approved.

<type='S' input='M'>

If this project is a part of the major project for which the first phase was carried out in the 2007-2013, please provide a detailed description of the physical and financial objectives of the previous phase, including a description of the implementation of the first phase and confirm that it is or will be ready to be used for its purpose.

<type='S' maxlength='3500' input='M'>

A.5. Has the quality review of the independent experts been completed and their report attached in Part B? *<type='C' input='M'>*

Yes	<input type="checkbox"/>	No ⁽⁴⁾	<input type="checkbox"/>
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B. DESCRIPTION OF THE INVESTMENT, ITS LOCATION, TIMETABLE AND EXPECTED CONTRIBUTION OF THE MAJOR PROJECT TO THE SPECIFIC OBJECTIVES OF THE RELEVANT PRIORITY AXIS OR AXES

B.1. Categorisation of project activity ⁽⁵⁾

	Code	Amount	Percentage
B.2.1. Code(s) for the intervention field dimension(s) (More than one should be used where, based on a pro rata calculation, several intervention fields are relevant)	<i><type='N' input='S'></i>	<i><type='N' input='M'></i>	<i><type='P' input='G'></i>
	<i><type='N' input='S'></i>	<i><type='N' input='M'></i>	<i><type='P' input='G'></i>
	<i><type='N' input='S'></i>	<i><type='N' input='M'></i>	<i><type='P' input='G'></i>
	<i><type='N' input='S'></i>	<i><type='N' input='M'></i>	<i><type='P' input='G'></i>
	<i><type='N' input='S'></i>	<i><type='N' input='M'></i>	<i><type='P' input='G'></i>
B.2.2. Code for the form of finance dimension (More than one may be relevant in some cases — pro rata shares to be provided)	<i><type='N' input='S'></i>	<i><type='N' input='M'></i>	<i><type='P' input='G'></i>
	<i><type='N' input='S'></i>	<i><type='N' input='M'></i>	<i><type='P' input='G'></i>
B.2.3. Code for the territorial dimension (More than one may be relevant in some cases — pro rata shares to be provided)	<i><type='N' input='S'></i>	<i><type='N' input='M'></i>	<i><type='P' input='G'></i>
	<i><type='N' input='S'></i>	<i><type='N' input='M'></i>	<i><type='P' input='G'></i>
B.2.4. Code for the territorial delivery mechanism	<i><type='N' input='S'></i>	<i><type='N' input='M'></i>	<i><type='P' input='G'></i>
B.2.5. Code for the thematic objective dimension (More than one may be relevant in some cases — pro rata shares to be provided)	<i><type='N' input='S'></i>	<i><type='N' input='M'></i>	<i><type='P' input='G'></i>
	<i><type='N' input='S'></i>	<i><type='N' input='M'></i>	<i><type='P' input='G'></i>
B.2.6. Code for the economic dimension (NACE Code ⁽⁶⁾) (More than one may be relevant in some cases — pro rata shares to be provided)	<i><type='N' input='S'></i>	<i><type='N' input='M'></i>	<i><type='P' input='G'></i>
	<i><type='N' input='S'></i>	<i><type='N' input='M'></i>	<i><type='P' input='G'></i>
B.2.7. Code for the location dimension(s) (NUTS III) ⁽⁷⁾ (More than one may be relevant in some cases — pro rata shares to be provided)	<i><type='N' input='S'></i>	<i><type='N' input='M'></i>	<i><type='P' input='G'></i>
	<i><type='N' input='S'></i>	<i><type='N' input='M'></i>	<i><type='P' input='G'></i>
B.2.8. Nature of the investment ⁽⁸⁾ (to be filled in for productive investments only)	<i><type='N' input='S'></i>	<i><type='N' input='M'></i>	<i><type='P' input='G'></i>

B.2.9. Product concerned ⁽⁹⁾ (to be filled in for productive investments only)	<type='N' input='S'>	<type='N' input='M'>	<type='P' input='G'>
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B.2. Operational programme or operational programmes and priority axis or priority axes)

B.2.1 Identification of the operational programme or operational programmes and priority axis or priority axes:

CCI of OP	Priority axis of OP	Co-financing Fund
OP1<type='S' input='S'>	Priority axis of OP1<type='S' input='S'>	ERDF/CF <type='S' input='S'>
OP1<type='S' input='S'>	Priority axis of OP1<type='S' input='S'>	ERDF/CF <type='S' input='S'>
OP2<type='S' input='S'>	Priority axis of OP2<type='S' input='S'>	ERDF/CF <type='S' input='S'>
OP2<type='S' input='S'>	Priority axis of OP2<type='S' input='S'>	ERDF/CF <type='S' input='S'>

B.3. Project description

(a) Please provide a short description of the project, its main objectives and the main project components.

In case of *productive investments* a short technical description must also be provided.

<type='S' maxlength='7000' input='M'>

(b) Please provide a map identifying the project area and geo-referenced data ⁽¹⁰⁾.

(c) Please give below the timetable for the development of the major project and its implementation.

	Start date (A)	Completion date (B)
1. Feasibility studies (or business plan if productive investment):	<type='D' input='M'> ⁽¹¹⁾	<type='D' input='M'>
2. Cost-benefit analysis:	<type='D' input='M'>	<type='D' input='M'>
3. Environmental impact assessment:	<type='D' input='M'>	<type='D' input='M'>
4. Design studies:	<type='D' input='M'>	<type='D' input='M'>
5. Preparation of tender documentation(s):	<type='D' input='M'>	<type='D' input='M'>
6. Tender procedure(s):	<type='D' input='M'>	<type='D' input='M'>
7. Land acquisition:	<type='D' input='M'>	<type='D' input='M'>
8. Development consent:	<type='D' input='M'>	<type='D' input='M'>

9.	Construction phase/contract:	<type='D' input='M'>	<type='D' input='M'>
10.	Operational phase:	<type='D' input='M'>	<type='D' input='M'>

(d) Please provide details on the expected contribution of the major project to achieving the result indicators under the specific objectives of the relevant priority axis or priority axes of the operational programme(s).

<type='S' maxlength='7000' input='M'>

C. TOTAL COST AND TOTAL ELIGIBLE COST

C.1. Please complete table below on the basis of the format for submission of information set out in accordance with Article 101 of Regulation (EU) No 1303/2013.

	EUR	Total Project costs (A)	Ineligible costs (B)	Eligible costs (C) = (A) – (B)	Percentage of total eligible costs
		<i>Input</i>	<i>Input</i>	<i>Calculated</i>	<i>Calculated</i>
1	Planning/design fees	<type='N' input='M'>	<type='N' input='M'>	<type='N' input='G'>	<type='N' input='G'>
2	Land purchase	<type='N' input='M'>	<type='N' input='M'>	<type='N' input='G'>	<type='N' input='G'>
3	Building and construction	<type='N' input='M'>	<type='N' input='M'>	<type='N' input='G'>	<type='N' input='G'>
4	Plant and machinery or equipment	<type='N' input='M'>	<type='N' input='M'>	<type='N' input='G'>	<type='N' input='G'>
5	Contingencies	<type='N' input='M'>	<type='N' input='M'>	<type='N' input='G'>	<type='N' input='G'>
6	Price adjustment (if applicable)	<type='N' input='M'>	<type='N' input='M'>	<type='N' input='G'>	<type='N' input='G'>
7	Publicity	<type='N' input='M'>	<type='N' input='M'>	<type='N' input='G'>	<type='N' input='G'>
8	Supervision during construction implementation	<type='N' input='M'>	<type='N' input='M'>	<type='N' input='G'>	<type='N' input='G'>
9	Technical assistance	<type='N' input='M'>	<type='N' input='M'>	<type='N' input='G'>	<type='N' input='G'>
10	Sub-total	<type='N' input='G'>	<type='N' input='G'>	<type='N' input='G'>	<type='N' input='G'>
11	(VAT)	<type='N' input='M'>	<type='N' input='M'>	<type='N' input='M'>	<type='N' input='M'>
12	TOTAL	<type='N' input='G'>	<type='N' input='G'>	<type='N' input='G'>	<type='N' input='G'>

Please provide the exchange rate and the reference (where applicable).

<type='S' maxlength='875' input='M'>

Please provide an explanation on any of the above elements, where relevant.

<type='S' maxlength='1750' input='M'>

C.2. Compliance with State aid rules

In case the project involves the granting of State aid, please fill in the table below ⁽¹²⁾.

	Amount of aid (EUR) in GGE ⁽¹³⁾	Total amount of eligible cost (EUR) ⁽¹⁴⁾	Aid intensity (in %)	State aid number/registry number for block-exempted aid
Approved aid scheme or approved ad hoc aid	<type='N' input='M'>	<type='N' input='M'>	<type='P' input='M'>	<type='N' input='M'>
Aid falling under a block exemption regulation	<type='N' input='M'>	<type='N' input='M'>	<type='P' input='M'>	<type='N' input='M'>
Aid in line with the SGEI decision ⁽¹⁵⁾ or the regulation on public land passenger traffic ⁽¹⁶⁾	<type='N' input='M'>	<type='N' input='M'>	<type='P' input='M'>	Not applicable
Total aid granted	<type='N' input='G'>	Not applicable	Not applicable	Not applicable

C.3. Total eligible cost calculation

Please choose the relevant option and complete the information on the basis of the format for submission of information set out in accordance with Article 101 of Regulation (EU) No 1303/2013.

Method of calculation of the potential net revenue	Put x in one box only
Calculation of the discounted net revenue	<type='C' input='M'>
Flat rate method	<type='C' input='M'>
Decreased co-financing rate method	<type='C' input='M'>

Calculation of the discounted net revenue (Article 61(3)(b) of Regulation (EU) No 1303/2013)

	Value
1. Total eligible cost before taking account of the requirements set out in Article 61 of Regulation (EU) No 1303/2013 (in EUR, not discounted)	<type='N' input='M'>
2. Pro-rata application of discounted net revenue (%) (if applicable)	<type='N' input='M'>
3. Total eligible cost after taking into account of the requirements set out in Article 61 of Regulation (EU) No 1303/2013 (in EUR, not discounted) = (1)*(2) <i>The maximum public contribution must respect the State aid rules and the amount of total aid granted reported above (if applicable)</i>	<type='N' input='M'>

Flat rate method or decreased co-financing rate method (Article 61(3)(a) and Article 61(5) of Regulation (EU) No 1303/2013)

	Value
1. Total eligible cost before taking account of the requirements set out in Article 61 of Regulation (EU) No 1303/2013 (in EUR, not discounted)	<type='N' input='M'>
2. Net revenue flat rate as defined in Annex V to Regulation (EU) No 1303/2013 or delegated acts (FR) (%)	<type='N' input='M'>
3. Total eligible cost after taking account of the requirements set out in Article 61 of Regulation (EU) No 1303/2013 (in EUR, not discounted) = (1)*(1-FR)* <i>The maximum public contribution must respect the State aid rules and the amount of total aid granted reported above (if applicable)</i> * In case of decreased co-financing rate method, this formula is not applicable (flat rate is reflected in co-financing rate of the priority axis, resulting in lower ERDF/CF financing) and the total eligible cost is equal to the amount mentioned in point (1)	<type='N' input='M'>

D. FINANCING PLAN, AND PHYSICAL AND FINANCIAL INDICATORS FOR MONITORING PROGRESS, TAKING ACCOUNT OF THE IDENTIFIED RISKS

D.1. Sources of co-financing

Source of financing total investment expenditure (EUR)					Of which (for information)
Total investment cost [C.1.12.(A)]	Union support	National public (or equivalent)	National private	Other sources (specify)	EIB/EIF financing:
(a) = (b) + (c) + (d) + (e)	(b)	(c)	(d)	(e)	(f)
<type='N' input='G'>	<type='N' input='M'>	<type='N' input='M'>	<type='N' input='M'>	<type='N' input='M'>	<type='N' input='M'>

D.2. Annual plan of total eligible expenditure to be declared to the Commission (financial indicator for monitoring progress)

The total eligible expenditure to be declared to the Commission shall be presented below in terms of the annual share in EUR. In the case of a major project co-financed by more than one operational programme, the annual plan shall be presented separately for each operational programme. In the case of a major project co-financed by more than one priority axis, the annual plan should be broken down by priority axis.

(in EU R)	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	Total eligible expenditure
Priority axis of OP1	<type='N' input='M'>	<type='N' input='M'>	<type='N' input='M'>	<type='N' input='M'>	<type='N' input='M'>	<type='N' input='M'>	<type='N' input='M'>	<type='N' input='M'>	<type='N' input='M'>	<type='N' input='M'>	<type='N' input='G'>
Priority axis of OP1											
Priority axis of OP2											

Priorit y axis of OP2											
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D.3. Output indicators (17) and other physical indicators for monitoring progress

Please list in the table provided output indicators, including common indicators as specified in the operational programme(s) and other physical indicators for monitoring progress. Volume of information will depend on complexity of projects, but only main indicators should be presented.

OP and priority axis	Name of indicator	Measurement unit	Target value for the major project	Target year
<type='S' input='S'>	Common: <type='S' input='S'> Other: <type='S' input='M'>	Common: <type='S' input='S'> Other: <type='S' input='M'>	<type='S' input='M'>	<type='N' input='M'>

D.4. Risk assessment

Please present a short summary of the main risks to the successful physical and financial implementation of the project and the proposed risk mitigation measures

<type='S' maxlength='3500' input='M'>

E. IS THE PROJECT SUBJECT TO A LEGAL PROCEDURE FOR NON-COMPLIANCE WITH UNION LAW? <TYPE='C' INPUT='M'>

Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
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If yes, please give details and justify the proposed contribution from the Union budget to the project in this respect:

<type='S' maxlength='3500' input='M'>

F. HAS THE UNDERTAKING PREVIOUSLY BEEN, OR IS IT CURRENTLY, SUBJECT OF A PROCEDURE (18) TO RECOVER UNION SUPPORT FOLLOWING THE RELOCATION OF A PRODUCTIVE ACTIVITY OUTSIDE THE PROGRAMME AREA OR OUTSIDE THE UNION? <TYPE='C' INPUT='M'>

Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
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If yes, please give details and justify the proposed contribution from the Union budget to the project in this respect:

<type='S' maxlength='3500' input='M'>

In addition, for productive investments please give details of the expected impact of the project on employment in other regions of the Union, and define whether the financial contribution from the Funds does not result in a substantial loss of jobs in existing locations within the Union.

<type='S' maxlength='3500' input='M'>

⁽¹⁾ Legend for the characteristics of fields:

type: N = Number, D = Date, S = String, C = Checkbox, P = Percentage

input: M = Manual, S = Selection, G = Generated by system

maxlength = Maximum number of characters including spaces

⁽²⁾ In case of a Public private partnership operation where a private partner will be selected after approval of the operation and is proposed to be the beneficiary in accordance with Article 63(2) of Regulation (EU) No 1303/2013, this section should contain information on the public law body initiating the operation (i.e. the procuring authority).

⁽³⁾ A major project started in the 2007-2013 programming period of which one or more phases have been completed in that programming period and this project represents a phase which will be carried out and completed in the 2014-2020 programming period, or a major project started in the 2014-2020 programming period of which this phase represents a phase which will be completed while the next phase will be completed in this or the subsequent programming period.

⁽⁴⁾ Projects falling under Article 103 of Regulation (EU) No 1303/2013 do not require submission of the independent quality review report.

⁽⁵⁾ Annex I to Commission Implementing Regulation (EU) No 215/2014 of 7 March 2014 laying down rules for implementing Regulation (EU) No 1303/2013 of the European Parliament and of the Council laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund with regard to methodologies for climate change support, the determination of milestones and targets in the performance framework and the nomenclature of categories of intervention for the European Structural and Investment Funds, unless otherwise specified.

⁽⁶⁾ NACE-Rev.2, 4 digit code: Regulation (EC) No 1893/2006 of the European Parliament and of the Council (OJ L 393, 30.12.2006, p. 1).

⁽⁷⁾ Regulation (EC) No 1059/2003 of the European Parliament and of the Council (OJ L 154, 21.6.2003, p. 1) as amended. Use the most detailed and relevant NUTS III code. Where a project affects multiple individual NUTS III level areas, consider encoding the NUTS III or higher codes.

⁽⁸⁾ New construction = 1; extension = 2; conversion/modernisation = 3; change of locality = 4; creation by take over = 5.

⁽⁹⁾ Combined Nomenclature (CN), Council Regulation (EEC) No 2658/87 (OJ L 256, 7.9.1987, p. 1).

⁽¹⁰⁾ Please provide the geo-referenced data in vector format, containing polygons, lines and/or points as appropriate to represent the project in preferably shape file format.

⁽¹¹⁾ Legend for the characteristics of fields:

type: N = Number, D = Date, S = String, C = Checkbox, P = Percentage

input: M = Manual, S = Selection, G = Generated by system

max length = Maximum number of characters including spaces

(¹²) This application does not replace notification to the Commission under Article 108(3) of the Treaty. A positive decision by the Commission on the major project under Regulation (EU) No 1303/2013 does not constitute state aid approval.

(¹³) Gross grant equivalent (GGE) means the discounted value of the aid expressed as a percentage of the discounted value of the eligible costs, as calculated at the time of award of the aid on the basis of the reference rate applicable on that date.

(¹⁴) State aid rules include provisions on eligible cost. In this column Member States should indicate the total amount of eligible cost based on the State aid rules that have been applied.

(¹⁵) Commission Decision of 20 December 2011 on the application of Article 106(2) of the Treaty on the Functioning of the European Union to State aid in the form of public service compensation granted to certain undertakings entrusted with the operation of services of general economic interest (OJ L 7, 11.1.2012, p. 3).

(¹⁶) Regulation (EC) No 1370/2007 of the European Parliament and of the Council of 23 October 2007 on public passenger transport services by rail and by road and repealing Council Regulations (EEC) Nos 1191/69 and 1107/70 (OJ L 315, 3.12.2007, p. 1).

(¹⁷) As required in Article 102(1)(a)(iv) of Regulation (EU) No 1303/2013.

(¹⁸) Under Article 71(1)(a) or (2) of Regulation (EU) No 1303/2013.

(¹⁹) The Commission services provided guidance to Member States to facilitate the assessment when infrastructure investments may involve State aid. In particular, the Commission services have prepared analytical grids. A Communication on the notion of aid is currently in preparation. The Commission invites Member States to make use of the analytical grids and of the future communication for explaining why it is considered that the support does not involve the granting of State Aid.

(²⁰) Not yet published in the Official Journal.

